

QP CODE: 21006040



Reg No : .....

Name : .....

**B.COM DEGREE CBCS PRIVATE EXAMINATION, OCTOBER 2021**

**Third Semester**

B.COM

**CORE - CO3CRT09 - FINANCIAL MARKETS AND OPERATIONS**

2017 ADMISSION ONWARDS

B9218044

Time: 3 Hours

Max. Marks : 80

**Instructions:** This question paper contains **two sections**. Answer **Section I** questions in the answer book provided. **Section II Internal Examination** questions must be answered in the **question paper itself**. Follow the detailed instructions given under **Section II**.

**Section I**

**Part A**

Answer any **ten** questions.

Each question carries **2** marks.

1. What is the role of financial system in managing liquidity?
2. What is 'Payment Bank'?
3. What do you mean by SDL? Explain with example.
4. What do you mean by Underwriting?
5. What is Open Book ?
6. What is meant by 'circuit breaker' in a stock market?
7. What is market capitalization? What is its relevance in developing a stock index?
8. Differentiate between Bear and Stag.
9. Explain AMFI and ARN?
10. Briefly narrate any two features of NAV of mutual funds.
11. Differentiate Commodity Futures from Financial Futures?
12. Define Options. How is it different from Futures?

(10×2=20)

**Part B**

Answer any **six** questions.

Each question carries **5** marks.





13. Explain the role investment in financial system.
14. Briefly explain the benefits of government securities.
15. Make a note on innovative financial instruments.
16. What is meant by listing of securities? State the objectives of listing.
17. Describe the concept of dematerialisation of securities.
18. Write a note on sectoral funds.
19. "Mutual funds are good investment oppotrtnity for inexperienced investors". Expalin.
20. What are the uses of standardized derivative contracts in the financial market?
21. Briefly explain various characterisitcs of Forward Contracts.

(6×5=30)

### **Part C**

*Answer any **two** questions.*

*Each question carries **15** marks.*

22. The role of SEBI is developmental and regulatory -Discuss.
23. How is capital collected through IPO?
24. What is meant by stock broker? Who can become stock brokers in India? What are the eligibility norms prescribed for obtaining membership and registration of stock brokers in India?
25. Explain the role of mutual funds in India.

(2×15=30)

